BEFORE THE DIVISION OF MEDICAL QUALITY MEDICAL BOARD OF CALIFORNIA DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA

In the Matter of the Accusation Against:)
ROBERT JOHN SANTELLA, M.D.)) File No: 10-1996-61463
Physician's and Surgeon's)
Certificate No. G 23945))
Respondent.)
<u>DECISION</u>	AND ORDER
The attached Stipulation in Settlement	t and Order is hereby adopted by the Division
of Medical Quality of the Medical Board of C	alifornia, Department of Consumer Affairs,
State of California, as its Decision in the above	ve-entitled matter.
This Decision shall become effective at	t 5:00 p.m. on <u>May 30, 2000</u> .
DATED April 27, 2000	_ :
	DIVISION OF MEDICAL QUALITY MEDICAL BOARD OF CALIFORNIA
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	In bullell
	Ira Lubell, M.D.
	Chair, Panel A

BILL LOCKYER, Attorney General 1 of the State of California SANFORD FELDMAN 2 Deputy Attorney General 3 State Bar No. 47775 Department of Justice 110 West A Street, Suite 1100 4 Post Office Box 85266 San Diego, CA 92186-5266 5 Telephone: (619) 645-2079 6 Attorneys for Complainant 7 **BEFORE THE** 8 **DIVISION OF MEDICAL QUALITY** MEDICAL BOARD OF CALIFORNIA 9 DEPARTMENT OF CONSUMER AFFAIRS 10 STATE OF CALIFORNIA In the Matter of the Accusation 11 Case No. 10-1996-61463 Against: 12 ROBERT JOHN SANTELLA, M.D. **STIPULATION** 13 4531 College Avenue IN SETTLEMENT San Diego, CA 92115 AND ORDER 14 Physician's and Surgeon's 15 Certificate No. G 23945 Respondent. 16 17 Complainant, Ron Joseph, Executive Director of the 18 Medical Board of California ("Board"), by and through his 19 attorney, Bill Lockyer, Attorney General of the State of 20 California, by Sanford Feldman, Deputy Attorney General, and 21 Robert John Santella, M.D. ("respondent"), by and through his 22 attorney Daniel S. Belsky, Esq., hereby stipulate as follows: 23 The Division of Medical Quality of the Board 24 1. ("Division") acquired jurisdiction over respondent by reason of 25 26 the following: 27 ///

- A. Respondent was duly served with a copy of the Accusation, Statement to Respondent, Request for Discovery, Form Notice of Defense and copies of Government Code sections 11507.5, 11507.6 and 11507.7 as required by section 11503 and 11505, and respondent timely filed a Notice of Defense within the time allowed by section 11506 of the code.
- B. Respondent has received and read the Accusation which is presently on file as Case No. 10-1996-61463 before the Division. Respondent understands the nature of the charges alleged in the Accusation and that the charges and allegations constitute cause for imposing discipline upon respondent's license to practice medicine which was issued by the Board.
- 2. Respondent and his counsel are aware of each of respondent's rights, including the right to a hearing on the charges and allegations, the right to confront and cross-examine witnesses who would testify against respondent, the right to present evidence in his favor and call witnesses on his behalf, or to testify, his right to contest the charges and allegations, and other rights which are accorded to respondent pursuant to the California Administrative Procedure Act (Gov. Code, § 11500 et seq.), including the right to seek reconsideration, review by the superior court, and appellate review.
- 3. Respondent freely and voluntarily waives each and every one of the rights set forth in paragraph 2.

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4. Respondent understands that in signing this stipulation rather than contesting the Accusation, he is enabling the Division to issue the following order without further process.

- 5. For the purpose of resolving Accusation
 No. 10-1996-61463, respondent admits that he failed to maintain adequate records as alleged in Paragraph 8 of the Accusation.
 Respondent hereby gives up his right to contest the charges and allegations in the Accusation relating to failure to maintain adequate records and agrees to be bound by the Division's Disciplinary Order which is based solely on his violations of Section 2266 and set forth below.
- 6. Respondent understands and agrees that if he ever files an application for modification or early termination of probation, all of the charges and allegations contained in Accusation No. 10-1996-61463 will be deemed to be true, correct and admitted by respondent when the Division determines whether to grant or deny the application.
- 7. It is understood by respondent that, in deciding whether to adopt this stipulation, the Division may receive oral and written communications from its staff and the Attorney General's office. Communications pursuant to this paragraph shall not disqualify the Division or other persons from future participation in this or any other matter affecting respondent. In the event this settlement is not adopted by the Division, the stipulation will not become effective and may not be used for any purpose, except for this paragraph, which shall remain in effect.

- 8. This Stipulation in Settlement and Decision is intended by the parties herein to be an integrated writing representing the complete, final and exclusive embodiment of the agreements of the parties.
- 9. The parties agree that facsimile copies of this Stipulation, including facsimile signatures of the parties, may be used in lieu of original documents and signatures. The facsimile copies will have the same force and effect as originals.
- 10. Based upon the foregoing, it is stipulated and agreed that the Division may issue the following as its decision in this case.

ORDER

Certificate No. G 23945 issued to Robert John Santella, M.D., is revoked. However, revocation of said certificate is stayed and respondent is placed on probation for four (4) years on the terms and conditions set forth below. Within 15 days after the effective date of this decision, respondent shall provide the Division, or its designee, proof of service that respondent has served a true copy of this decision on the Chief of Staff or the Chief Executive Officer at every hospital where privileges or membership are extended to respondent or where respondent is employed to practice medicine and on the Chief Executive Officer at every insurance carrier where malpractice insurance coverage is extended to respondent.

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1. PRESCRIBING PRACTICES COURSE

Within 180 days of the effective date of this decision, respondent shall enroll in and complete the PACE Prescribing Practices course given by the University of California at San Diego School of Medicine. Respondent may satisfy this requirement by submitting written proof that he has taken and successfully completed the PACE Prescribing Practices course within the one year preceding the effective date of this order.

2 EDUCATION COURSE

Within 90 days from the effective date of this decision, and on an annual basis thereafter, respondent shall submit to the Division or its designee for its prior approval an educational program or course to be designated by the Division, which shall not be less than 40 hours per year for each year of probation. This program shall be in addition to the Continuing Medical Education requirements for re-licensure. Following the completion of each course, the Division or its designee may administer an examination to test respondent's knowledge of the course. Respondent shall provide proof of attendance for 65 hours of continuing medical education of which 40 hours were in satisfaction of this condition and were approved in advance by the Division or its designee.

3. ETHICS COURSE

Within sixty (60) days of the effective date of this decision, respondent shall enroll in a course in Ethics approved in advance by the Division or its designee, and shall

successfully complete the course during the first year of probation.

4. CLINICAL TRAINING PROGRAM

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Within 90 days from the effective date of this decision, respondent, at his expense, shall enroll in The Physician Assessment and Clinical Education Program at the University of California, San Diego School of Medicine (hereinafter, the "PACE Program") and shall undergo assessment, clinical training and examination. First, respondent shall undergo the comprehensive assessment program including the measurement of medical skills and knowledge and the appraisal of physical health and psychological testing. After assessment, the PACE Evaluation Committee will review all results and make a recommendation to the Division or its designee and the respondent and other authorized personnel regarding clinical training (including scope and length), treatment of any medical and/or psychological condition and any other matters affecting respondent's practice of medicine. Upon approval of the recommendation by the Division or its designee, respondent shall undertake and complete the recommended and approved PACE Program. At the completion of the PACE Program, respondent shall submit to examination on its contents and substance. The examination shall be designed and administered by the PACE Program faculty. Respondent shall not be deemed to have successfully completed the program unless he passes the examination. Respondent agrees that the determination of the PACE Program faculty as to whether or ///

not he passed the examination and/or successfully completed the PACE Program shall be binding.

Respondent shall complete the PACE Program no later than six months after his initial enrollment unless the Division or its designee agrees in writing to a later time for completion.

If respondent successfully completes the PACE Program, including the examination referenced above, he agrees to cause the PACE Program representative to forward a Certification of Successful Completion of the program to the Division or its designee. If respondent fails to successfully complete the PACE Program within the time limits outlined above, he shall be suspended from the practice of medicine.

Failure to participate in, and successfully complete all phases of the PACE Program, as outlined above, shall constitute a violation of probation.

5. OBEY ALL LAWS

Respondent shall obey all federal, state and local laws, all rules governing the practice of medicine in California, and remain in full compliance with any court ordered criminal probation, payments and other orders.

6. QUARTERLY REPORTS

Respondent shall submit quarterly declarations under penalty of perjury on forms provided by the Division, stating whether there has been compliance with all the conditions of probation.

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7. PROBATION SURVEILLANCE PROGRAM COMPLIANCE

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Respondent shall comply with the Division's probation surveillance program. Respondent shall, at all times, keep the Division informed of his or her addresses of business and residence which shall both serve as addresses of record. Changes of such addresses shall be immediately communicated in writing to the Division. Under no circumstances shall a post office box serve as an address of record.

Respondent shall also immediately inform the Division, in writing, of any travel to any areas outside the jurisdiction of California which lasts, or is contemplated to last, more than 30 days.

8. INTERVIEW WITH THE DIVISION, ITS DESIGNEE OR ITS DESIGNATED PHYSICIAN(S)

Respondent shall appear in person for interviews with the Division, its designee or its designated physician(s) upon request at various intervals and with reasonable notice.

9. TOLLING FOR OUT-OF-STATE PRACTICE, RESIDENCE OR IN-STATE NON-PRACTICE

In the event respondent should leave California to reside or to practice outside the State or for any reason should respondent stop practicing medicine in California, respondent shall notify the Division or its designee in writing within ten days of the dates of departure and return or the dates of non-practice within California. Non-practice is defined as any period of time exceeding 30 days in which respondent is not engaging in any activities defined in Sections 2051 and 2052 of

the Business and Professions Code. All time spent in an intensive training program approved by the Division or its designee shall be considered as time spent in the practice of medicine. Periods of temporary or permanent residence or practice outside California or of non-practice within California, as defined in this condition, will not apply to the reduction of the probationary period. During periods of temporary or permanent residence or practice outside California or of non-practice within California, as defined in this condition, respondent is not required to comply with any terms and conditions of probation other than the requirement for the payment of cost recovery, as set in paragraph 11 below.

10. COMPLETION OF PROBATION

Upon successful completion of probation, respondent's certificate shall be fully restored.

11. VIOLATION OF PROBATION

If respondent violates probation in any respect, the Division, after giving respondent notice and the opportunity to be heard, may revoke probation and carry out the disciplinary order that was stayed. If an accusation or petition to revoke probation is filed against respondent during probation, the Division shall have continuing jurisdiction until the matter is final, and the period of probation shall be extended until the matter is final.

12. COST RECOVERY

Within 90 days of the effective date of this order, respondent shall pay the Division the amount of \$4,094.08 for its

investigation and prosecution costs. Failure to reimburse the Division's cost of its investigation and prosecution as set forth herein shall constitute a violation of the probation order, unless the Division agrees in writing to payment by an installment plan because of financial hardship. The filing of bankruptcy by the respondent shall not relieve the respondent of his/her responsibility to reimburse the Division for its investigative and prosecution costs.

13. PROBATION MONITORING COSTS

Respondent shall pay the costs associated with probation monitoring each and every year of probation. Such costs, which are currently set at \$2,304 per year and may vary from year to year, shall be payable to the Division at the beginning of each calendar year. Failure to pay such costs shall constitute a violation of probation.

14. LICENSE SURRENDER

Following the effective date of this decision, if respondent ceases practicing due to retirement, health reasons or is otherwise unable to satisfy the terms and conditions of probation, respondent may voluntarily tender his/her certificate to the Division. The Division reserves the right to evaluate the respondent's request and to exercise its discretion whether to grant the request, or to take any other action deemed appropriate and reasonable under the circumstances. Upon formal acceptance

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of the tendered license, respondent will no longer be subject to terms and conditions of probation. 2 ACCEPTANCE 3 I have carefully read and fully understand the 4 stipulation and order set forth above. I have discussed the 5 terms and conditions set forth in the stipulation and order with 6 my attorney, Daniel S. Belsky, Esq. I understand that in signing 7 this stipulation I am waiving my right to a hearing on the 8 charges set forth in the Accusation on file in this matter. I further understand that in signing this stipulation the Division 10 may enter the foregoing order placing certain requirements, 11 restrictions and limitations on my right to practice medicine in 12 the State of California. 13 DATED: 2-14-00 14 15 16 17 18 19 Respondent 20 I concur in the Stipulation. 2-25-00 21 22 23

> Daniel S Basky Attorney for Respondent

1	I concur in the Stipulation.	
2	DATED: 2-25-66.	
3	BILL LOCKYER, Attorney General of the State of California	
4	of the State of California	
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7	SANFORD FELDMAN	
8	Deputy Attorney General	
9	Attorneys for Complainant	
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BILL LOCKYER, Attorney General 1 FILED of the State of California STATE OF CALIFORNIA SANFORD FELDMAN MEDICAL BOARD OF CALIFORNIA Deputy Attorney General SACRAMENTO March 1719 99 State Bar No. 47775 3 Department of Justice Dome_ASSOCIATE 110 West A Street, Suite 1100 Post Office Box 85266 San Diego, CA 92186-5266 5 Telephone: (619) 645-2079 6 Attorneys for Complainant 7 BEFORE THE 8 DIVISION OF MEDICAL QUALITY MEDICAL BOARD OF CALIFORNIA 9 DEPARTMENT OF CONSUMER AFFAIRS STATE OF CALIFORNIA 10 In the Matter of the Accusation 11 Case No. 10-1996-61463 Against: 12 ROBERT JOHN SANTELLA, M.D. ACCUSATION 4531 College Avenue 13 San Diego, CA 92115 14 Physician's and Surgeon's Certificate No. G 23945 15 Respondent. 16 17 Complainant, Ron Joseph, as causes for disciplinary 18 action, hereby alleges: 19 **PARTIES** 2.0 Complainant, Ron Joseph, is the Executive Director 21 of the Medical Board of California (hereinafter the "Board") and 22 brings this Accusation solely in his official capacity. 23 On or about January 11, 1973, Physician's and 2. 24 Surgeon's Certificate No. G 23945 was issued by the Board to 25 Robert John Santella, M.D. ("respondent"), and at all times 26 relevant herein, said Physician's and Surgeon's Certificate was 27

in full force and effect. Unless renewed, it will expire on December 31, 1999. In or about 1984, following the filing of an Accusation against respondent, the Board issued a disciplinary decision. The Decision placed respondent on probation for five years with terms and conditions including a 60 day suspension. JURISDICTION This Accusation is brought before the Division of 3. Medical Quality of the Board (hereinafter the "Division"), under the authority of the following sections of the California Business and Professions Code (hereinafter "Code"): Code section 2227 provides, in pertinent

- part, that the Division may revoke, suspend for a period not to exceed one year, or place on probation and order the payment of probation monitoring cost, the license of any licensee who has been found guilty under the Medical Practice Act.
- Code section 2234 provides, in pertinent В. part, that the Division shall take action against any licensee who is charged with unprofessional conduct. Unprofessional conduct includes, but is not limited to, the following:

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- "(b) Gross negligence.
- "(c) Repeated negligent acts.
- "(d) Incompetence.

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- C. Code section 2266 provides, in pertinent part, that the failure of a physician and surgeon to maintain adequate and accurate records relating to the provision of services to their patients constitutes unprofessional conduct.
- D. Code section 125.3 provides, in part, that the Board may request the administrative law judge to direct any licentiate found to have committed a violation or violations of the licensing act, to pay the Board a sum not to exceed the reasonable costs of the investigation and enforcement of the case.
- Section 14124.12 of the Welfare and Institutions Code provides, in pertinent part, that: "Upon receipt of written notice from the Medical Board of California, the Osteopathic Medical Board of California, or the Board of Dental Examiners of California, that a licensee's license has been placed on probation as a result of a disciplinary action, the department [of Health Services] may not reimburse any Medi-Cal claim for the type of surgical service or invasive procedure that gave rise to the probation including any dental surgery or invasive procedure, that was performed by the licensee on or after the effective date of probation and until the termination of all probationary terms and conditions or until the probationary period has ended, whichever occurs first. This section shall apply except in any case in which the relevant licensing board determines that compelling circumstances warrant the continued reimbursement during the probationary period of any Medi-Cal claim, including

any claim for dental services, as so described. In such a case, the department shall continue to reimburse the licensee for all procedures, except for those invasive or surgical procedures for which the licensee was placed on probation."

FIRST CAUSE FOR DISCIPLINE

(Incompetence)

5. Respondent Robert John Santella, M.D., is subject to disciplinary action on account of the following:

PATIENT ELLA M.

- A. Patient Ella M. (hereinafter "Ella"), a then
 46 year old Gravida 1, Para 1 female, first presented to on
 November 4, 1994. She had dysfunctional bleeding and a left
 adnexal mass that respondent continued to observe until he
 performed surgery on March 30, 1995.
- B. On March 30, 1995, without first investigating the possibility of an intrauterine malignancy, respondent performed a vaginal hysterectomy without laparoscopic assistance.
- C. During the surgery, he discovered that the adnexal lesion was markedly adherent to the pelvic area and the omentum. Accordingly, the lesion was extremely difficult to remove.
- D. Portions of respondent's chart notes for Ella have diagrams drawn over them, making the notes difficult to read and making it difficult to discern the goals of the diagnostic evaluation or treatment plan.

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PATIENT JACKIE W.

- E. Patient Jackie W. (hereinafter "Jackie"), a then 30 year old Gravida 5, Para 3 female with a history of long standing pelvic inflammatory disease and multiple surgeries, first presented to respondent on January 6, 1994 with complaints of irregular bleeding and pelvic pain. On January 12, 1994, respondent performed a diagnostic laparoscopy and the endocervical portion of a D&C, during which he noted and drained multi-cystic ovaries. The D&C was reported as revealing severe dysplasia even though a pap smear two days earlier was negative. Further, respondent failed to perform a cone biopsy or loop excision to determine the appropriate method of treatment. And, in fact, final pathology did not confirm the presence of endocervical dysplasia.
- F. On February 9, 1994, Jackie was admitted to the hospital for a total vaginal hysterectomy for severe endocervical dysplasia and chronic pelvic pain. A right ovarian cystectomy was performed during the surgery.
- G. Respondent went on to perform a right laparoscopic oophorectomy in August 1994, followed by a left salpingo-oophorectomy and appendectomy in June 1995.
- H. Portions of respondent's chart notes for Jackie have diagrams drawn over them, making the notes difficult to read and making it difficult to discern the goals of the diagnostic evaluation or treatment plan.

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PATIENT THREE

- I. Patient Three, a then 60 year old female, first presented to respondent on July 31, 1995, for a preoperative evaluation of a suspected ovarian malignancy.
- J. On August 7, 1995, respondent performed a total abdominal hysterectomy and bilateral salpingo-oophorectomy with pelvic washings. An ovarian tumor showing no surface irregularities was removed without rupture. Intraoperative frozen section diagnosis was reported as mucinous ovarian neoplasm with some features suggesting possible borderline tumor. Respondent did not perform a lymph node biopsy or omentectomy.
- K. Portions of respondent's chart notes for Patient Three have diagrams drawn over them, making the notes difficult to read and making it difficult to discern the goals of the diagnostic evaluation or treatment plan.
- 6. Respondent Robert John Santella, M.D., is subject to disciplinary action in that he was incompetent, in violation of Code section 2234 (d), in connection with his care and treatment of Ella, Jackie and Patient Three, in that:
 - A. Complainant realleges paragraph 5 above and incorporates it by reference herein.
 - B. Respondent performed a vaginal rather than abdominal hysterectomy on Ella.
 - C. Respondent failed to perform a cone biopsy or loop excision to evaluate Jackie's reported endocervical dysplasia prior to her hysterectomy.

- D. Respondent failed to refer Jackie for psychiatric evaluation in the midst of her multiple gynecological surgeries.
- E. Respondent frequently drew anatomic diagrams over his clinical notes regarding Ella, Jackie and Patient Three, making those notes difficult to read and making it difficult to discern the goals of his diagnostic evaluation and his treatment plan.

SECOND CAUSE FOR DISCIPLINE

(Repeated Negligent Acts)

- 7. Respondent Robert John Santella, M.D., is further subject to disciplinary action in that he committed repeated negligent acts, in violation of Code section 2234 (c), in connection with his care and treatment of Ella, Jackie and Patient Three, in that:
 - A. Complainant realleges paragraphs 5 and 6 above and incorporates them herein by reference.
 - B. Respondent failed to rule out endometrial malignancy with a screening endometrial biopsy prior to performing a vaginal hysterectomy for abnormal bleeding on Ella.
 - C. Respondent performed a vaginal rather than abdominal hysterectomy on Ella.
 - D. Respondent failed to perform a cone biopsy or loop excision to evaluate Jackie's reported endocervical dysplasia prior to her hysterectomy.

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- E. Respondent failed to refer Jackie for psychiatric evaluation in the midst of her multiple gynecological surgeries.
- F. Respondent failed to obtain a confirmatory omental biopsy or perform a partial omentectomy in connection with his evaluation of Patient Three.
- G. Respondent frequently drew anatomic diagrams over his clinical notes regarding Ella, Jackie and Patient Three, making those notes difficult to read and making it difficult to discern the goals of his diagnostic evaluation and his treatment plan.

THIRD CAUSE FOR DISCIPLINE

(Failure to Maintain Adequate and Accurate Records)

8. Respondent Robert John Santella, M.D., is further subject to disciplinary action for unprofessional conduct in that he failed to maintain adequate and accurate records, in violation of Code section 2266, as more specifically set forth in paragraphs 5, 6 and 7 above, which are incorporated herein by reference.

PRAYER

WHEREFORE, complainant requests that the Division hold a hearing on the matters alleged herein, and that following said hearing, the Division issue a decision:

1. Revoking or suspending Physician's and Surgeon's Certificate No. G 23945, heretofore issued to respondent Robert John Santella, M.D.;

1	2. Directing respondent Robert John Santella, M.D.,
2	to pay to the Board a reasonable sum for its investigative and
3	enforcement costs of this action, and directing respondent, if
4	placed on probation, to pay the costs of probation monitoring;
5	and,
6	3. Taking such other and further action as the
7	Division deems appropriate to protect the public health, safety
8	and welfare.
9	DATED: March 17, 1999
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L3	Ron Joseph
L4	Executive Director Medical Board of California
L5	Department of Consumer Affairs State of California
L6	Complainant
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